JC02 Rec'd PCT/PTC

Rec'd PCT/PTC 16 JUN 2005

Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	1	ANSMITTAL LETTER TO DESIGNATED/ELECTED C	ATTORNEY 'S DOCKET NUMBER 034299-646 U.S. APPLICATION NO. (If known, see 37 CFR 1.5)									
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 unassigned 10/539928												
		ATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED								
		003/050173	December 15, 2003	December 16, 2002								
		FINVENTION sisitor with Self-Aligned Gate and Methoo	for Making Same									
		NT(S) FOR DO/EO/US IBUS, Simon	-									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:												
1.	$\boxtimes$	This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.										
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.										
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.										
4.	П	The US has been elected (Article 31).										
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371(c)(2))										
	_	a. X is attached hereto (required	only if not communicated by the Internation	onal Bureau).								
		b. has been communicated by	the International Bureau.									
		c. is not required, as the application was filed in the United States Receiving Office (RO/US).										
6.	$\boxtimes$	The second secon										
		a. 🔀 is attached hereto.		•								
		_	ed under 35 U.S.C. 154(d)(4).									
7.	П	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))										
	•	a. are attached hereto (required only if not communicated by the International Bureau).										
		b.  have been communicated by the International Bureau.										
		c. have not been made; however, the time limit for making such amendments has NOT expired.										
		d. have not been made and will not be made.										
8.	П	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).										
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).										
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).										
	Ite	ms 11 to 20 below concern document(	s) or information included:									
11.		An Information Disclosure Statement un	der 37 CFR 1.97 and 1.98.	·								
12.	$\overline{\boxtimes}$	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.										
13.	$\overline{\boxtimes}$	A preliminary amendment.										
14.		An Application Data Sheet under 37 CFR 1.76.										
15.	$\overline{\Box}$	A substitute specification.										
16.	$\overline{\Box}$	A power of attorney and/or change of ac	ddress letter.									
17.	$\overline{\Box}$	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.										
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).										
19.		A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).										
20.	$\overline{\boxtimes}$		CT; PCT/IB/308; WO2004/057658A2; PC b be made of record; translation of IPER a	T/ISA/220; PCT/ISA/210; PCT/IPEA/401; PCT/IPEA/416 amendments; formal drawings								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## JC09 Rec'd PCT/PTO 16 JUN 2005

PTO-1390 (Rev. 02-2005)
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U.S. APPLICATION AC unassigned	15399	ATTORNEY'S DOCKET NUMBER 034299-646									
The following	fees have been s	Cal	culations	PTO use only							
21. 🗵 Basic national fee\$300						300					
	ary examination re -(4)										
All other situations		\$	200								
23. Search fee Search fee (37 CFR 1.4 International Sear International Search Re All other situations	rching Authority port prepared and	\$	500								
	OTAL OF 21, 22 a	\$	1000	-							
Additional fee for listing or compute	specification and er program listing f ets of paper or fra										
Total Sheets	Extra Sheets		ch additional 50 or fraction I up to a whole number)	RATE							
- 100 =	/50 =			x \$250	\$						
Surcharge of \$130.00 for from the earliest claime			n later than 30 months		\$						
CLAIMS		ER FILED	NUMBER EXTRA	RATE							
Total claims		10 - 20 =	0	x \$50	\$	0					
Independent claims		2 - 3 =	0	× \$200	\$	. 0					
MULTIPLE DEPEN	DENT CLAIM(S) (	\$									
		\$	1000								
Applicant claims	small entity status										
		\$	1000								
Processing fee of \$130 claimed priority date (37		\$									
				TIONAL FEE =	\$ 1000						
Fee for recording the er by an appropriate cover	nclosed assignmer sheet (37 CFR 3.	nt (37 CFR 1.21) 28, 3.31). <b>\$40.</b> 0	(h)). The assignment must be a 00 per property	accompanied +	\$ 40						
			TOTAL FEES	ENCLOSED =	\$ 1040						
		Amount refunde		\$							
		·	Amount	to be	\$						
a. A check in the amount of \$ 1000. 1540.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No. 50-1698 in the amount of \$ to cover the above fees.											
A duplicate cop	y of this sheet is e	nclosed.									
_	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.  50-1698  A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.											
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR) 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.											
SEND ALL CORRESPO	ONDENCE TO:	TURE									
Robert E. Krebs Thelen Reid & Pries	t LLP	. •	· ·								
P.O. Box 640640 San Jose CA 95164	-0640	E. Krebs									
T: 408.292.5800 F: 408.287.8040											
		TRATION	NUMBER								

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Simon DELEONIBUS

SERIAL NO.:

unassigned

FILING DATE:

herewith

TITLE:

MIS Transistor with Self-Aligned Gate and Method for Making

Same

**EXAMINER:** 

**UNASSIGNED** 

ART UNIT:

**UNASSIGNED** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## PATENT PRACTITIONERS TO BE MADE OF RECORD

Please recognize the following patent practitioners in the attached Declaration and Power of Attorney as being of record in the application or patent to which the power of attorney is directed:

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Masako Ando, (37 C.F.R.§10.9 (b))

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The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted, THELEN REID & PRIEST LLP

Dated: June 16, 2005

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